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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : ATTN: PATENT PUBLICATIONS
Guoyi FU et al. : Examiner: Mathieu D. Vargot
Serial No.: 09/878,189 ✓ : Group Art Unit: 1732
Filed: June 12, 2001 : Allowed: January 21, 2004
For: METHOD FOR PRODUCING SPHERE-BASED CRYSTALS

REQUEST FOR COMPLETE NOTICE OF ALLOWANCE

MAIL STOP: ISSUE FEE
Commissioner for Patents
PO Box 1450
Alexandria VA 22313-1450

Sir:

Attached is a copy of Applicants' Information Disclosure Statement and Form PTO-1449 filed October 1, 2002, a copy of which has not yet been returned to applicants with the examiner's initials indicating the references cited therein were considered during the prosecution of the above-identified application. It is respectfully requested that an examiner-initialed copy of Form PTO-1449 be returned to applicants.

Respectfully submitted,

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Filed: March 18, 2004

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COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Guoyi FU et al.

Serial No.: 09/878,189

Group Art Unit: 1754

Filed: June 12, 2001

Examiner: UNASSIGNED

For: A METHOD FOR PRODUCING SPHERE-BASED CRYSTALS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Attached hereto is copending Application No. 09/997,286.

CITED MATERIALS

Applicant(s) hereby disclose the information listed in the attached form PTO-1449.

- Copies of materials listed but not attached were cited in parent application Serial No. _____; see 37 C.F.R. § 1.98(d).
- Copies of materials listed but not attached were cited in an International Search Report dated _____; receipt of the International Search Report and copies of references was confirmed by the PCT International Division of the U.S. PTO in the Notice of Acceptance mailed _____.

LANGUAGE

- All listed materials are in the English language; see 37 C.F.R. § 1.98.
- Non-English language references:
 - The reference(s): _____ in the English-language is (are) indicated by commercial data bases to correspond to the reference(s): _____, respectively.
 - (An) English-language translation(s) of the references: _____ is (are) provided.
 - A commercial English-language abstract of reference(s) _____ is (are) provided.

An English-language search report or an equivalent paper from a foreign patent office translated into English in pertinent part in connection with a counterpart foreign application, is provided indicating the relevance of the cited reference(s).

FEES

No fee is required for this Information Disclosure Statement because:

This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;

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This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(i).

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CERTIFICATION

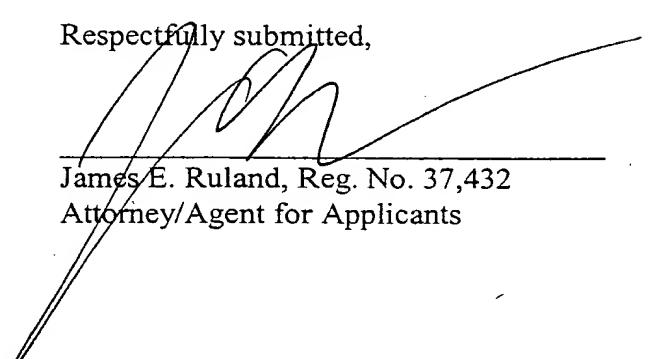
Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, no such item was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

If a fee is due, attached is a check in the amount of \$_____. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,


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Attorney Docket No.: EMI 21

Date: October 1, 2002

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/878,189
		Filing Date	June 12, 2001
		First Named Inventor	Guoyi FU et al.
		Group Art Unit	1754
		Examiner Name	Unassigned
Sheet	1	of	10
		Attorney Docket Number	EMI-21

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 10

Complete if Known

Application Number	09/878,189
Filing Date	June 12, 2001
First Named Inventor	Guoyi Fu et al.
Group Art Unit	1754
Examiner Name	Unassigned
Attorney Docket Number	EMI-21

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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	A12	Symposium B: Structure and Mechanical Properties of Nanophase Materials: Theory and Computer Simulations vs Experiment, Call For Papers, MRS 2000, 21 March 2000, 2 pages.	

Examiner Signature	Date Considered
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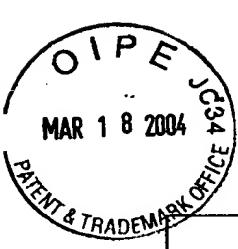
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	A27	Research with phrase, "anodize or anodization" to uncover 44 abstracts containing "anodization," refined with phrase "metal or metal oxide" and "submicron structure or nanostructure," 21 pages.	
	A28	Research with phrases, "anodize or anodization," refined with phrases "metal or metal oxide," and "alumina or silica or titania," and refined by document type "Review" to uncover 35 abstracts, 16 pages.	
	A29	Abstracts, 66 pages.	
	A30	Research with phrase, "Vacuum Belt Filter" to find 127 abstracts, 49 pages.	
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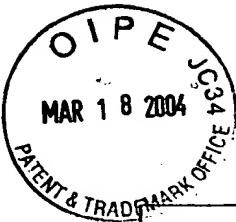
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